

# CAISAR: A Platform for Characterizing Artificial Intelligence Safety and Robustness

AISafety 2022

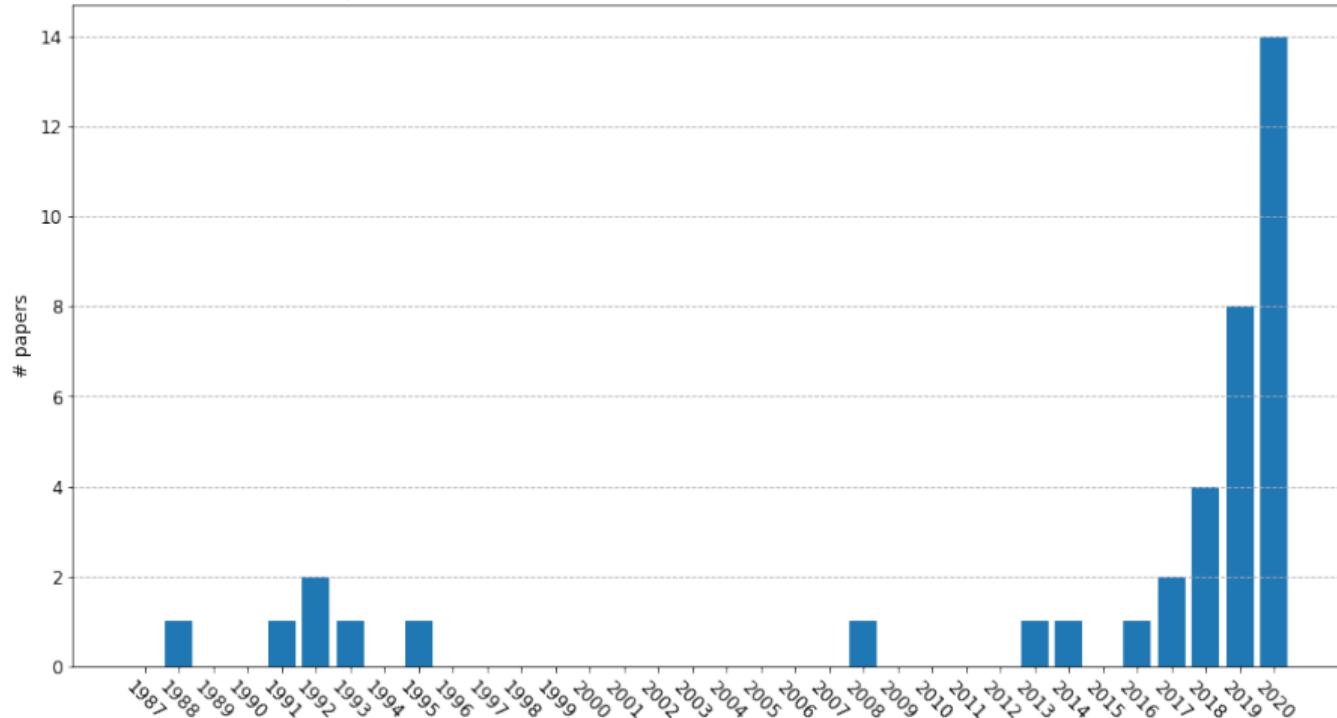
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# Formal verification for machine learning

Papers with either "Verification" "Certified" or "Formal" in title, NeurIPS



Adapted from [https://github.com/nemanja-rakicevic/conference\\_historical\\_data\\_analysis](https://github.com/nemanja-rakicevic/conference_historical_data_analysis)

# Non-exhaustive list of tools

Include only the latest "version" (including extensions and rebranding)

- Marabou [Kat+19]
- Neurify
- ERAN [Sin+19; Mül+21]
- $\alpha - \beta$ -Crown [Wan+21]
- Nnenum [Bak21]
- NNV (<https://github.com/verivital/nnv>)
- FaceLattice (<https://arxiv.org/abs/2003.01226>, <https://github.com/verivital/FaceLattice>)
- Facet-Vertex incidence (<https://github.com/Shaddadi/Facet-Vertex-FFNN>)
- Veritex (<https://github.com/Shaddadi/veritex>)
- Verinet and Venus (<https://github.com/vas-group-imperial/VeriNet>)

# Non-exhaustive list of tools

Include only the latest "version" (including extensions and rebranding)

- ReluDiff (<https://arxiv.org/abs/2001.03662>,  
<https://github.com/pauls658/ReluDiff-ICSE2020-Artifact>)
- Peregrinn (<https://arxiv.org/abs/2006.10864>, <https://github.com/rcpsl/PeregrinNN>)
- Oval (<https://github.com/oval-group/oval-bab>)
- Libra [Urb+19]
- MIPVerify [TXT19]
- Planet [Ehl17]
- Sherlock [Dut+17]
- ZoPE (<https://arxiv.org/abs/2106.05325>,<https://github.com/sisl/NeuralPriorityOptimizer.jl> )
- DNNV [SED21]



it's the Cambrian  
explosion

The background features a stylized illustration of marine life from the Cambrian period. A pink trilobite is positioned on the left, facing right. In the upper center, a green ribbon-like organism, possibly a cnidarian or a worm, is shown. At the bottom center, there is a yellow, fan-shaped organism, likely a crinoid or a coral. To the right, a grey, columnar organism, such as a stony coral, is visible. The background is a gradient of blue, suggesting an underwater environment.

(credits: Bill Wurtz)

# Lots of tools increases burden of choice

Which tool to choose?

How to encode a given problem for multiple tools?

**Could we specify a verification problem independently of the tool?**

# A new, thriving ecosystem

## Selective pressure

Short lifetime of tools: Reluplex to Marabou, AI<sup>2</sup> to ERAN, Fast-Lin to  $\alpha - \beta$ -CROWN

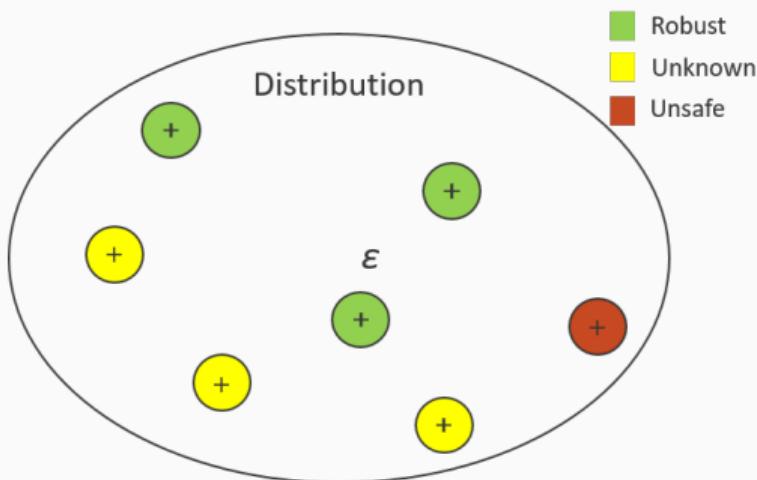
Ecological niches: from fully-connected neural networks to state-of-the-art architectures, by way of SVMs

## Collaboration

Collaborative initiatives: VNN-COMP or VNNLib

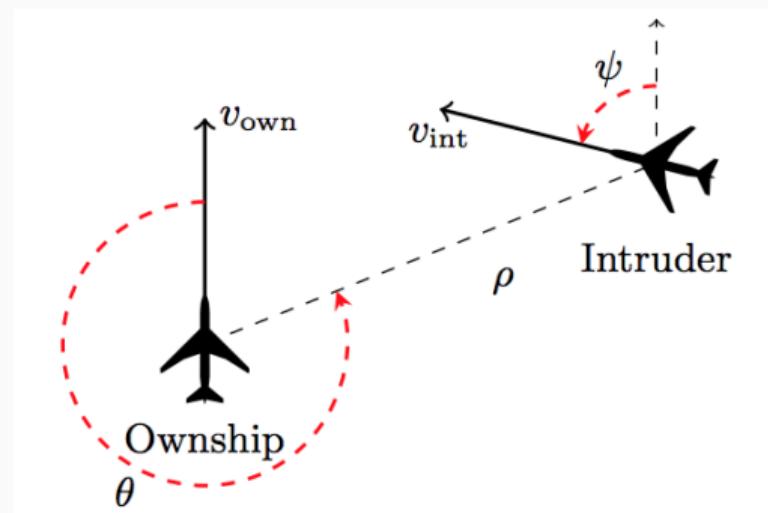
Cross-fertilization of techniques across tools: symbolic propagation [Li+19; Sun+18; HL20; Wan+21], efficient space partitioning [Urb+19; Gir+21], mixing exact solvers and fast bound propagation [Gil+18; Fer+22]

# Families of properties according to the literature



local robustness: given  $x_0$

$$\forall x, \text{dist}(x - x_0) < \varepsilon \implies f(x) = f(x_0)$$



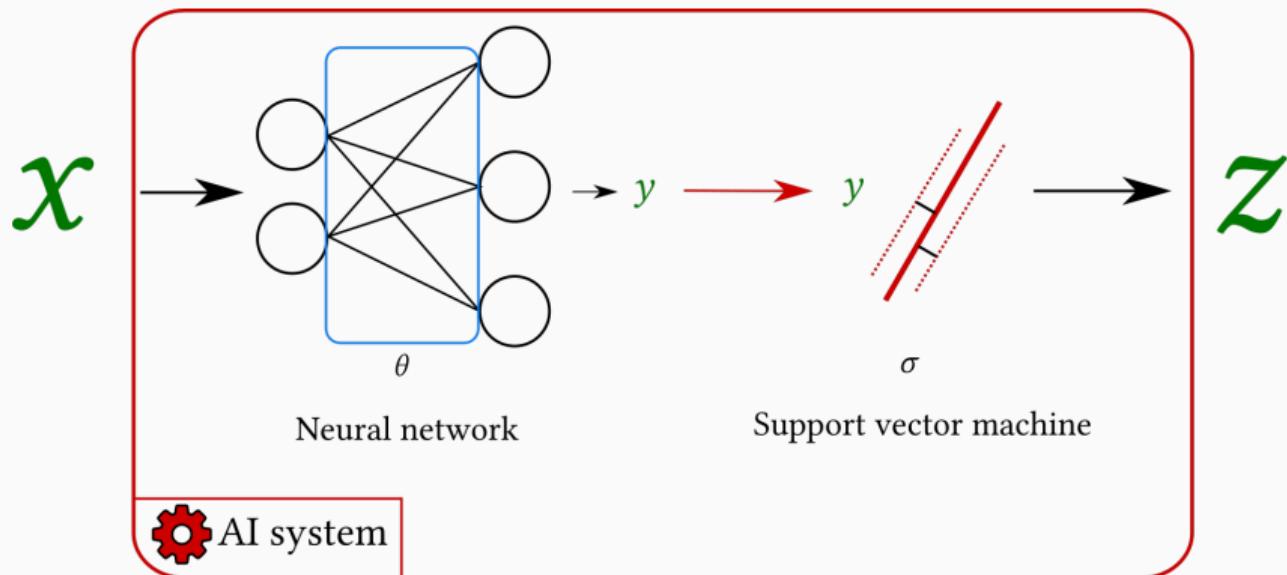
clearly defined semantics à la ACAS

global properties on low-dimensional programs

Existing benchmarks: ACAS-like and local adversarial robustness?

**What about characterizing privacy? Fairness? Symmetry relations? How to phrase custom properties for provers that are not designed to?**

# Handling complex systems?



How to compose system components in the analysis?

## Three interesting venues

1. tool-independant modelling
2. flexibility in problem statement
3. composition of components



# CAISAR

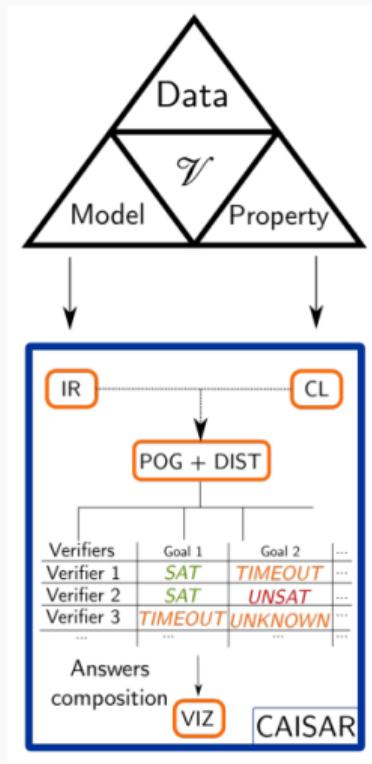
platform for Characterizing Artificial Intelligence Safety And Robustness

# A platform building from principled and industrial-tested techniques

Written in OCaml, using Why3 as backend



# Overall architecture of CAISAR



Supports SMT and abstract interpretation reasoning (Marabou, PyRAT, SAVER), and soon metamorphic testing (AIMOS)

# WhyML language: composition

**free component composition**  
**tool independent** modelling

```
theory T
use Net.NNAsTuple
use SVM.SVMAsArray
use ieee_float.Float64
use caesar.NN

goal G: forall x1 x2 x3.
  (0.0:t) .< x1 .< (0.5:t) ->
  let (y1,y2) = Net.net_apply x1 x2 x3 in
  let (z1,z2) = SVM.svm_apply y1 y2 in
    (0.0:t) .< z1 .< (0.5:t)
  /\ 
  (0.0:t) .< z2 .< (0.5:t)
end
```

# WhyML language: expressivity

first-order language with polymorphic types, pattern matching, and inductive properties capabilities

**modelization freedom to define  
a vast set of property**

```
predicate dist_linf
  (a: input_type)
  (b: input_type)
  (eps:t)
  (n: int) =
  forall i. 0 <= i < n ->
    .- eps .< a i .- b i .< eps
```

```
predicate robust_to
  (model: model)
  (a: input_type)
  (eps: t) =
  forall b. dist_linf a b eps
  model.num_input ->
  model.app a = model.app b
```

## Future work

- support more prominent verifiers (among ERAN, nnenum) as well as VNNLib/SMTLIB format
- how to compose several verifiers techniques (metamorphic tests plus formal verification)?
- how to choose the proper prover heuristics? more generally, how to refine and adapt proof strategies according to one's need?



# CAISAR

Libre software under GPLv2 at <https://git.frama-c.com/pub/caisar>



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